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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/786,450	02/25/2004	Michael Jack Zakharoff	ID-911 (80235)	4905
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ALLEN, DYER, DOPPELT, MILBRATH & GILCHRIST P.A. 1401 CITRUS CENTER 255 SOUTH ORANGE AVENUE P.O. BOX 3791 ORLANDO, FL 32802-3791			KEEHN, RICHARD G	
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**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

creganoa@addmg.com

<b>Office Action Summary</b>	<b>Application No.</b> 10/786,450	<b>Applicant(s)</b> ZAKHAROFF, MICHAEL JACK	
	<b>Examiner</b> Richard G. Keehn	<b>Art Unit</b> 2456	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 24 October 2008.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-30 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-30 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)                     | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)          | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____  | 6) <input type="checkbox"/> Other: _____                          |

**DETAILED ACTION**

**Claims 1-30 have been examined and are pending.**

**This Office Action is made FINAL.**

***Response to Arguments***

Applicant's arguments filed 10/24/2008 have been fully considered but they are not persuasive.

Applicant argues:

- I. Pages 14 and 15 recite the argument that “even a selective combination of Shaw et al. and D’Souza et al. fails to disclose moving email messages stored in the first queue to a second queue based on a delivery failure. Instead Shaw et al. discloses moving messages from a first to a second queue if a queue time has expired”; and “Nowhere does it disclose moving email messages stored in a first to a second queue based on a *delivery failure*.” (*emphasis added by applicant*).
- II. Page 15 recites the argument that “Nowhere in either Shaw et al. or D’Souza et al. does it disclose moving email messages from the second queue to the first queue having a common characteristic with a successfully delivered email message”; and “the common characteristic of D’Souza et al. does not relate to a successfully delivered email message.”

- III. "Indeed, the Examiner is using impermissible hindsight reconstruction based on motivation provided by Applicant's own specification in an attempt to produce the claimed invention by selectively assembling disjoint pieces of the prior art."

As to Argument I, Shaw et al. is relied upon to teach, inter alia, moving email messages among queues based on a delivery failure. This reference discloses the detection of delivery failure by using a timer associated with the queue as cited in the prior office action. Said timer's expiration is an indication that message delivery has not been successful. Referring to Figure 4, it would have been clear to an ordinary person skilled in the art at the time the invention was made, based on element 410's "no" branch and the handling of whether the timer gets deleted in element 416 and the associated elements in-between, that a timeout condition indicates that the message had not been successfully delivered, hence a message delivery failure. Therefore, Argument I is not persuasive.

As to Argument II, the D'Souza et al. reference was relied upon to disclose, inter alia, the placement of packets into higher priority and lower priority queues, said higher priority queue sending at a higher sending rate (¶ [0028]). Page 2, ¶ [0028] recites "If the source code is found in source address table labeled 'Good' in Fig. 2 the packet is designated high priority and scheduler 24 places the packet in a queue which is serviced at the highest sending rate." Hence the

Art Unit: 2456

common characteristic of source address indicates prior success. The Shaw et al. reference was relied upon to disclose, inter alia, email messages placed into queues; and the determination of successful message delivery (Figure 4, element 414) as discussed in Argument I. Hence the combination of Shaw et al. and D'Souza et al. discloses "moving email messages from the second queue to the first queue having a common characteristic with a successfully delivered email message." Therefore, Argument II is not persuasive.

As to Argument III, the motivation cited by examiner was from the cited prior art reference D'Souza et al., and is not disclosed in Applicant's specification. Hence the argument that Examiner used Applicant's specification as motivation to combine is not persuasive. Furthermore, in response to applicant's argument that the examiner's conclusion of obviousness is based upon improper hindsight reasoning, it must be recognized that any judgment on obviousness is in a sense necessarily a reconstruction based upon hindsight reasoning. But so long as it takes into account only knowledge which was within the level of ordinary skill at the time the claimed invention was made, and does not include knowledge gleaned only from the applicant's disclosure, such a reconstruction is proper. See *In re McLaughlin*, 443 F.2d 1392, 170 USPQ 209 (CCPA 1971). Here both references were drawn from patent literature classified in the computing field of endeavor and both related to prioritizing data transfer. Hence the cited prior art references are not "disjoint" and combining them is proper, as they would have

been known to one of ordinary skill in the computing data transfer art at the time the invention was made. Therefore, Argument III is not persuasive.

***Claim Rejections - 35 USC § 103***

1. The text of those sections of Title 35, U.S. Code 103 (a) not included in this action can be found in a prior Office action.
2. Claims 1-2, 4-6, 8-11, 13-15, 17-18, 20-22, 24-25 and 27-29 are rejected under 35 U.S.C. 103(a) as being unpatentable over US 6,282,565 B1 (Shaw et al.), and further in view of US 2004/0236966 A1 (D'Souza et al.).

As to Claims 1, 10, 17 and 24, Shaw et al. disclose a communications system, delivery server, electronic mail communications method and computer-readable medium having computer-executable instructions for performing steps, hereafter referred to as the “system”, comprising:

at least one destination server for hosting a plurality of electronic mail (email) message boxes (Shaw et al. – Figure 1, Item 110 recites the Incoming Email Server);

a plurality of communications devices for generating email messages each associated with a respective message box (Shaw et al. – Figure 1, items 171, 173, 175, 161, 162 and 16n recite communications devices generating email messages with user mailboxes); and

a delivery server comprising a plurality of queues and a controller for (Shaw et al. – Figure 1, items 100, 140, 151, 153 and 155 recite the Enterprise Email System, Email Queuing and Mailbox System comprising mail queues);

moving email messages stored in said first queue to a second queue based upon a delivery failure (Shaw et al.—Column 11, lines 40-46 recite the email message being rerouted based on delivery timeout), and

the email messages generated by said communications devices (Shaw et al. – Column 1, lines 36-39 recite email messages being generated by users); and

with a successfully delivered email message (Shaw et al.—Column 11, lines 40-46 recite the email message being rerouted based on status of delivery timeout).

Shaw et al. do not disclose, but D'Souza et al. disclose an invention substantially as claimed, including

storing [...] in a first queue, and attempting to send [...] to said at least one destination server at a first sending rate (D'Souza et al. – Page 2, ¶ [0028] recite the decision engine storing packets in a faster send rate queue if the source address is found or a slower send rate queue if the source address is not found. ¶ [0029] recites that there can be multiple levels of queues with gradually slower send rates. Figure 3 recites sending at multiple rates depending on which queue the packet is placed into),

attempting to send [...] stored in said second queue to said at least one destination server at a second sending rate less than the first sending rate (D'Souza et al. – Page 2, ¶ [0028] recite the decision engine storing packets in a faster send rate

Art Unit: 2456

queue if the source address is found or a slower send rate queue if the source address is not found.), and

moving [...] from said second queue to said first queue having a common characteristic (D'Souza et al. - Page 2, ¶ [0030] recites the common characteristic of status of whether the source address is known).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine sending data at fast, then gradually slower sending rates and moving data to be sent into queues based on send rate, both up in rate and down taught by D'Souza et al., with a delivery server comprising a plurality of queues and a controller for moving email messages stored in said first queue to a second queue based upon a delivery failure taught by Shaw et al.

One of ordinary skill in the art at the time the invention was made would have been motivated to mitigate the effects of transmission flooding by those deemed to have adverse effect on communication throughput (D-Souza et al. - ¶ [0014]).

As to Claims 2, 11, 18 and 25, the combination of Shaw et al. and D'Souza et al. discloses the system of Claims 1, 10, 17 and 24 respectively, wherein the delivery failures are based upon a failure to deliver email messages to respective message boxes (Shaw et al.—Column 11, lines 40-46 recite the email message being rerouted based on status of delivery timeout); and



wherein the common characteristic comprises a common message box (D'Souza et al. - Page 2, ¶ [0030] recites the common characteristic of status of whether the source address is known).

The motivation and obviousness arguments are the same as in Claim 1.

As to Claims 4, 13, 20 and 27, the combination of Shaw et al. and D'Souza et al. discloses the system of Claims 1, 10, 17 and 24 respectively, wherein said controller stores directly in said second queue email messages generated by said communications devices sharing the common characteristic with an email message already stored in said second queue (D'Souza et al. – Page 2, ¶ [0028] recites direct storage into the slower queue based on the common status of unknown source address; Shaw et al. discloses email messages as previously discussed).

The motivation and obviousness arguments the same as in Claim 1.

As to Claims 5, 14, 21 and 28, the combination of Shaw et al. and D'Souza et al. discloses the system of Claims 1, 10, 17 and 24 respectively, wherein said second queue comprises a plurality thereof arranged in a hierarchy each having a respective storage interval associated therewith (D'Souza et al. – Page 2, ¶¶ [0028 – 0029] recite multiple classes of queues being serviced from highest to lowest rate),

the storage intervals successively increasing from a highest queue in the hierarchy to a lowest queue (D'Souza et al. – Page 2, ¶¶ [0028 – 0029] recite multiple classes of queues being serviced from highest to lowest rate);

wherein said controller moves email messages stored in said first queue to one of the queues in the hierarchy based upon a delivery failure (Shaw et al.—Column 11, lines 40-46 recite the email message being rerouted based on delivery timeout); and

wherein said controller moves email messages stored in a higher queue in the hierarchy to a next lower queue in the hierarchy after being stored in said higher queue for the storage interval thereof (Shaw et al.—Column 11, lines 40-46 recite the email message being rerouted based on delivery timeout).

The motivation and obviousness arguments are the same as in Claim 1.

As to Claims 6, 15, 22 and 29, the combination of Shaw et al. and D'Souza et al. discloses the system of Claims 5, 14, 21 and 28 respectively, wherein said controller attempts to send messages from each of said queues in the hierarchy at successively decreasing sending rates from said highest queue to said lowest queue (D'Souza et al. – Page 2, ¶ [0029] recites multiple classes of queues between fastest to slowest).

The motivation and obviousness arguments are the same as in Claim 1.

As to Claim 8, the combination of Shaw et al. and D'Souza et al. discloses the communications system of Claim 1 wherein at least one of said plurality of communications devices comprises a wireless communications device (Shaw et al. – Column 1, lines 22-27 recites internet which one of ordinary skill in the art at the time the invention was made would know to include wireless devices such as phones (line 17), pda's, laptops etc.).

As to Claim 9, the combination of Shaw et al. and D'Souza et al. discloses the communications system of Claim 1 further comprising a wide area network (WAN) connecting said at least one destination server and said delivery server (Shaw et al. – Column 1, lines 22-27 recites internet which one of ordinary skill in the art at the time the invention was made would know to include wide area networks).

3. Claims 3, 12, 19 and 26 are rejected under 35 U.S.C. 103(a) as being unpatentable over the combination of Shaw et al. and D'Souza et al. as applied to claims 1, 10, 17 and 24 above respectively, and further in view of US 2003/0145106 A1 (Brown).

As to Claims 3, 12, 19 and 26, the combination of Shaw et al. and D'Souza et al. discloses the system of Claims 1, 10, 17 and 24 respectively,

wherein the delivery failures are based upon a failure to deliver email messages to said destination servers (Shaw et al.—Column 11, lines 40-46 recite the email message being rerouted based on status of delivery timeout); and

wherein the common characteristic comprises having respective message boxes hosted by a common destination server (D'Souza et al. – Page 2, ¶ [0028] recites direct storage into the slower queue based on the common status of unknown source address).

Art Unit: 2456

The combination of Shaw et al. and D'Souza et al. does not explicitly disclose, but Brown discloses wherein said at least one destination server comprises a plurality of destination servers (Brown – Page 2, paragraph [0026] recites the group of email servers).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine wherein said at least one destination server comprises a plurality of destination servers taught by Brown with at least one destination server for hosting a plurality of electronic mail (email) message boxes taught by the combination of Shaw et al. and D'Souza et al.

One of ordinary skill in the art at the time the invention was made would have been motivated to provide an intermediary to improve network traffic flow (Brown – Page 1, paragraphs [0005-0007]).

4. Claims 7, 16, 23 and 30 are rejected under 35 U.S.C. 103(a) as being unpatentable over the combination of Shaw et al. and D'Souza et al. as applied to claims 5, 14, 21 and 28 above respectively, and further in view of US 5,632,011 (Landfield et al.).

As to Claims 7, 16, 23 and 30, the combination of Shaw et al. and D'Souza et al. discloses the system of Claims 5, 14, 21 and 28 respectively.

The combination of Shaw et al. and D'Souza et al. does not disclose, but Landfield et al. discloses wherein said controller discards messages from said lowest queue in the hierarchy after being stored therein for the storage interval thereof (Landfield et al. – Column 2, lines 12-22 recite the deletion of undeliverable messages from the queue. The fact that it is determined undeliverable is the same as the applicant's determination on non-deliverability based on failure to deliver at the lowest queue).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine wherein said controller discards messages from said lowest queue in the hierarchy after being stored therein for the storage interval thereof taught by Landfield et al., with wherein said controller moves email messages stored in said first queue to one of the queues in the hierarchy based upon a delivery failure taught by the combination of Shaw et al. and D'Souza et al.

One of ordinary skill in the art at the time the invention was made would have been motivated to improve management of email by allowing undeliverable emails to be discarded (Landfield et al. – Column 1, lines 56-61).

### ***Conclusion***

5. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within

Art Unit: 2456

TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Richard G. Keehn whose telephone number is 571-270-5007. The examiner can normally be reached on Monday through Thursday, 9:00am - 8:00pm EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Bunjob Jaroenchonwanit can be reached on 571-272-3913. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 2456

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

RGK

/Ashok B. Patel/

Primary Examiner, Art Unit 2456